# VAFS REC'U PCT/PTO 22 MAR 2008

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

	TR	ANSMITTAL LETTER TO THE UNITED STATES	ATTORNEY'S DOCKET NUMBER					
		DESIGNATED/ELECTED OFFICE (DO/EO/US)	059314-0301					
	С	ONCERNING A FILING UNDER 35 U.S.C. 371	(prev. 039386-2267)					
			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/534,578					
	PCT/US	ONAL APPLICATION NO. INTERNATIONAL FILING DATE 11/10/2003	PRIORITY DATE CLAIMED 11/12/2002					
	TITLE OF INVENTION CARBOHYDRATE-ASSOCIATED PROTEINS							
		(S) FOR DO/EO/US						
	Narinder	K. CHAWLA, et al. rewith submits to the United States Designated/Elected Office (DO/EO/	(S) the following items and other information:					
1.		This is a FIRST submission of items concerning a filing under 35 U.S.	· ·					
2.	$\boxtimes$	This is a SECOND or SUBSEQUENT submission of items concerning	a filing under 35 U.S.C. 371.					
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.						
4.		The US has been elected (Article 31).						
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))						
		is attached hereto (required only if not communicated by the In	ternational Bureau).					
		has been communicated by the International Bureau.	·					
		is not required, as the application was filed in the United States	Receiving Office (RO/US)					
6.		An English language translation of the International Application as filed is attached hereto.  has been previously submitted under 35 U.S.C. 154(d)(4).	d (35 U.S.C. 371(c)(2)).					
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  are attached hereto (required only if not transmitted by the International Bureau).  have been communicated by the International Bureau.  have not been made; however, the time limit for making such amendments has NOT expired.  have not been made and will not be made.						
8.		An English language translation of the amendments to the claims und	er PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).						
10.		An English language translation of the annexes to the International Pro 36 (35 U.S.C. 371(c)(5)).	eliminary Examination Report under PCT Article					
lten	Items 11 to 20 below concern other document(s) or information included:							
11.		An Information Disclosure Statement under 37 CFR 1.97 and 1.98.						
12.		An assignment document for recording. A separate cover sheet in co	mpliance with 37 CFR 3.28 and 3.31 is included.					
13.		A preliminary amendment.						
14.		An Application Data Sheet under 37 CFR 1.76.						
15.		A substitute specification.						
16.		A power of attorney and/or change of address letter.						
17.		A computer-readable form of the sequence listing in accordance with P	CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825					
18.		A second copy of the published international application under 35 U.S.	C. 154(d)(4).					
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).						
20.	(	Other items or information: Response to Notification of Defective Response Copy of Transmittal filed 11/28/05 (2 pgs.); Copy of Submission of Sequence List of Defective Response (2 pgs.)						

FORM PTO-1390 (Modified)

10/534,578		wn, see 37 CFF	R. 1.5)	PCT/US03/35947	NO.		Y'S DOCKET 14-0301	NUMBER		
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c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.										
d. Fees are to be charge to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-										
2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:							la			
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Chawla et al.

Title: CARBOHYDRATE-ASSOCIATED PROTEINS

Appl. No.: 10/534,578

Filing Date: 11/28/2005

Examiner: Unassigned

Art Unit: Unassigned

# RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Defective Response mailed on February 22, 2006, in connection with the above-captioned application, Applicants note that a Computer Readable Format (CRF) of Sequence Listing, and surcharge of \$130.00 are required.

Applicants believe this Notification may have been sent in error, since a Submission of Sequence Listing under 37 C.F.R. §1.821(e) was filed with Applicants' response to the Notification of Missing Requirements on November 28, 2005, requesting that the computer readable form of the sequence listing filed in the U.S. Patent and Trademark Office for PCT/US03/35947, and which is identical to the sequence listing in the present application, be used to fulfill the requirements of submission of a computer readable format of the sequence listing. The submission also included a statement that the sequence listing of the present application was identical to the computer readable copy submitted in the earlier filed application.

A copy of the Transmittal Letter to the US Designated/Elected Office, Submission of Sequence Listing under 37 C.F.R. §1.821(e), the paper copy of the Sequence Listing, and a PTO date-stamped postcard filing receipt showing all of these items were received in the U.S. Patent and Trademark Office on November 28, 2005, is attached. The Transmittal Letter and postcard filing receipt also show that Applicants paid the surcharge fee of \$130.00.

Therefore, since Applicants fully complied with the requirements of 37 C.F.R. §1.821(e), Applicants respectfully request that the application be given a filing date under 35 U.S.C. §371 of November 28, 2005 and a Notice of Acceptance be issued promptly.

Applicants believe that no fees are due for the present application, however, if this is incorrect, The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741.

Respectfully submitted,

Date

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(202) 672-5542

Facsimile:

(202) 672-5399

Michele M. Simkin

Attorney for Applicants Registration No. 34,717

FILE IN DESIGNATED US PCT RECEIVING OFFICE
Title: CARBOHYDRATE-ASSOCIATED PROTEINS

Inventor(s): CHAWLA et al. Dkt. No. 059314-0301 (prev.039386-2267) Appl. No.: 10/534,578

MMSC Transmittal Letter to US Designated/Elected Office (2 pgs., in duplicate); Petition for Extension of Time (1 pg.);

Preliminary Amendment (5 pgs.);
Declaration and Power of Attorney (105 pgs.);
Submission of Sequence Listing under 37 CFR §1.821(e) (2 pgs.);

Copy of Sequence Listing (27 pgs.);

Return Copy of Notification of Missing Requirements (2 pgs.);

Check number 46973 in the amount of \$250.00.

Due Date: November 26, 2005 (Saturday)

Attorney Initials: MMSC/mb/clar

Insp. By: Am

WASH\_1502915.1



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

000 030

10/534,578

Narinder K Chawla

039386-2267

INTERNATIONAL APPLICATION NO. PCT/US03/35947

I.A. FILING DATE

PRIORITY DATE

11/10/2003

11/12/2002

22428 **FOLEY AND LARDNER LLP** SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

**CONFIRMATION NO. 3394** 371 FORMALITIES LETTER \*OC000000018101206\*

Date Mailed: 02/22/2006

#### NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Priority Document
- Copy of the International Application filed on 05/11/2005
- Copy of the International Search Report filed on 05/11/2005
- Preliminary Amendments filed on 05/11/2005
- Oath or Declaration filed on 11/28/2005
- Biochemical Sequence Listing filed on 05/11/2005
- U.S. Basic National Fees filed on 05/11/2005
- Priority Documents filed on 05/11/2005
- Specification filed on 05/11/2005
- Claims filed on 05/11/2005
- Abstracts filed on 05/11/2005
- Paper nucleotide sequence listings filed on 05/11/2005

Applicant's response filed 11/28/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 08/26/2005 have not been completed.

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

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- \$130 Surcharge.
  - A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rule making notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### PATRICIA A BOOKER

Telephone: (703) 308-9140 EXT 204

# PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.							
10/534,578	PCT/US03/35947	039386-2267							

FORM PCT/DO/EO/916 (371 Formalities Notice)